Psychological Universals: What Are They and How Can We Know?

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Abstract

Psychological universals, or core mental attributes shared by humans everywhere, are a foundational postulate of psychology, yet explicit analysis of how to identify such universals is lacking. Drawing on the emerging field of cultural psychology, this article offers a conceptual and methodological framework to guide the investigation of genuine universals through empirical analysis of psychological patterns across cultures. Issues of cross cultural generalizability of psychological processes, and three cross cultural research strategies to probe universals, are considered. Four distinct levels of hierarchically organized universals are possible: from strongest to weakest claims for universality, accessibility universals, functional universals, existential universals, and non-universals. Finally, universals are examined in relation to the questions of levels of analysis, evolutionary explanations of psychological processes, and managing cross-cultural relations.

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There are two statements about human beings that are true: that all human beings are alike, and that all are different. On those two facts all human wisdom is founded.

Mark Van Doren, American poet (1894-1972).

Human psychological universals are core mental attributes that are shared at some conceptual level by all or nearly all non-brain damaged adult human beings across cultures. The assumption of human universals is a foundational postulate of psychology, and, as such, a rich understanding about how we can consider universality in psychological phenomena is of great importance to the field. In this paper, we bring together insights and observations from the emerging field of cultural psychology to bear on the questions of psychological universals that are of concern to most fields of psychology: what psychological universals are and are not, what standards of evidence there are to support their occurrence and degree of generality, what are their types or levels, and what research strategies are available to probe them.

Cultures are to some degree adaptive responses to their environments (Cohen, 2001), and unlike most other species, human beings occupy vastly different ecological niches demanding different sociocultural arrangements (Boyd & Silk, 2003; Diamond, 1997; Edgerton, 1971). Humans are also endowed with cognitive capacities for massive cultural transmission that favors ingroup members (Henrich & Boyd, 1998) and enables them to consider the perspectives of fellow group members (Dunbar, 1992; Tomasello, Kruger, & Ratner, 1993). From a game-theoretical point of view this social nature of our species renders the outcomes of any strategy that an individual pursues dependent on what his or her group members opt to do. This mutual interdependence between individual and ingroup member leads to multiple equilibria for any social system, which further fuels the engines of cultural diversity (Cohen, 2001; Fiske, 2000).

This combination of ecological variability, ingroup-biased cultural diffusion, and multiple equilibria have led to vast degrees of sociocultural diversity throughout history.

The existence of cultural diversity poses a great challenge to psychology: the discovery of genuine psychological universals entails the generalization of psychological findings across disparate populations having different ecologies, languages, belief systems, and social practices. Moreover, psychological phenomena often reflect the interaction of innate psychological primitives with sociocultural inputs, yielding contingent universals of an "if-then" sort (e.g., cooperate if neighbors are cooperative, otherwise defect; see Kenrick, Li, & Butner, 2003). Such generalizations demand comparative studies based on rigorous criteria for universality. Yet psychological universals have largely been a neglected topic of explicit analysis in psychology.

Past Considerations of Universals in Anthropology

While human universals have been largely overlooked in psychology, they have been examined in linguistics (e.g., Comrie, 1981; Slobin, 1978), and biology (e.g., Alexander, 1979; Dobzhanski, 1962). But universals have been explored and debated the most within anthropology, since the modern era of that field first emerged. One goal of the anthropological enterprise has been to explore and explain the vast degrees of diversity of human natures across the planet (e.g., Benedict, 1934). This explicit focus on investigating diversity came with a cautious awareness about the pitfalls of generalizing beyond one's samples. We suggest that the anthropological literature of the last hundred years renders the question of human universals both urgent and difficult. It is urgent in that the vast array of diverse human potentials uncovered in ethnographies from around the world behooves us to consider what features unite humankind. The question is difficult because identifying something as universal amidst an array of diverse instantiations requires one to make distinctions between the concrete, particular manifestations

that can be observed in behavior, and the abstract, underlying universals that have given rise to those behaviors. This distinction, challenging at the best of times, has provided no shortage of controversy and debate (e.g., Ekman, 1994, in response to Russell, 1994; Geertz, 1973; Shweder, 1991; Spiro, 1987).

Relatively early in the discipline's history, there have been attempts by many anthropologists to document universals in human nature. Clark Wissler (1923), for example, constructed a universal taxonomy which reflected hypothesized human needs, by which anthropologists could organize the diverse particulars that they encountered in their expeditions. Similar taxonomies were developed and refined as a growing chorus considered the question of what features of human nature were universal (e.g., Kluckhohn, 1953; Levi-Strauss, 1969; Malinowski, 1944; Murdock, 1945). What became apparent from these early efforts was a distinction between categories of universals, such as "religion" or "kinship," and their varied content, such as "beliefs in reincarnation" and "matrilineal descent." Indeed, the sheer range of diversity in the content of human activity revealed through the growing ethnographic database, left little dispute that this was an inappropriate level at which universals could be reliably found. However, later efforts (e.g., Goodenough, 1970; Berlin & Kay, 1969), demonstrated that certain kinds of cognitive content could indeed embody universals. Recent developments in cognitive anthropology and developmental psychology have further buttressed the case for a striking degree of universality in the content of thought and behavior (e.g., Atran, 1998; Avis & Harris, 1991; Boyer, 1994; see especially Hirschfeld & Gelman, 1994).

The most extensive recent effort to catalogue human universals was that by Donald Brown (1991) who constructed a list of hundreds of characteristics, incorporating both categories (e.g., marriage, rituals, language) and content (e.g. fear of snakes, coyness displays, having color

terms for “black” and “white”) that are common to people everywhere. These efforts to discern and taxonomize the universal human, or the *consensus gentium* (Geertz, 1973), have been highly controversial throughout the history of anthropology. Some have questioned whether interesting human universals really exist (e.g., Mead, 1975; Benedict, 1934), and others argued that such efforts to identify the lowest common denominator of humankind are either misguided, or of dubious value (e.g., Geertz, 1973). More recently, a growing number of voices in cultural anthropology have adopted a post-structuralist perspective, emphasizing the fluidity and ambiguity of culture. There is a marked skepticism in this view towards generalizing from the individual level to the cultural level, let alone generalizing to the level of what is universally human (e.g., Bourdieu, 1977; Brightman, 1995; Clifford & Markus, 1986).

Past Considerations of Universals in Psychology

In contrast to the long history of positing and debating universals in anthropology, the question of whether a given psychological phenomenon is universal has rarely been considered explicitly throughout much of psychology’s history, with a few notable exceptions (e.g., etics and emics, Berry, 1969; sex differences in attraction, Buss, 1989; violence, Daly & Wilson, 1988; facial expressions, Ekman, Sorenson, & Friesen, 1969; motives, Klineberg, 1954; social behavior, Pepitone & Triandis,1987; Triandis, 1978; see also Lonner, 1985). We suggest that the question of universality is so often neglected because much of psychology has maintained the implicit assumption that its objects of investigation were de facto universals. This unstated assumption of universality, or “psychic unity” (e.g., Murdock, 1945), can be discerned from three observations about the field of psychology. First, the origins of psychology have been profoundly influenced by biology (Benjamin, 1988). This biological basis of the field has led to an assumption of psychological universals in at least two respects: much research on the

biological basis of human psychology is conducted analogically in other species. This is done so with the idea that psychological mechanisms in other species can speak to human psychological functioning. But if we begin with the view that humans in one culture share psychological mechanisms with other species it follows that these same psychological mechanisms are assumed to be shared universally within humans themselves. Furthermore, to the extent psychology is conceived to be grounded in biology, it inherits the theoretical foundation of evolutionary theory as well (Barkow, Cosmides, & Tooby, 1992; Pinker, 1997). Because evolutionary reasoning hinges on the assumption of a shared species-wide genome, this theoretical foundation encourages psychologists to accept psychic unity as a given. In these ways, the biological heritage of psychology presupposes that psychological mechanisms are universal.

Second, the cognitive revolution provided another framework from which to understand human thought, and this framework also presupposes universality. Cognitive science has relied heavily on the analogy of the human mind to the computer (Block, 1995). This metaphor makes explicit the perspective that brain hardware gives rise to universal software, or psychological processes. In this model, output can be observed in beliefs, values, and behaviors, and these could vary endlessly across cultures and historical periods given the radically different "inputs" generated by the diverse social, political, and economic environments in which people live. Beneath this shallow surface of variability of mental content rests the easily discernible deep structure of universal psychology. Indeed, individual differences, let alone cultural differences, are rarely considered when the computer metaphor is invoked.

The assumption of universality in psychology is perhaps most evident when we consider the discipline's sampling methodology. Unlike many of the other social sciences (e.g., anthropology, geography, political science, and sociology) psychologists tend not to concern

themselves with questions of generalizability of their samples to populations at large, except with respect to populations that might deviate from the normal and universal mind, such as patients with brain injuries or with clinical disorders. The sampling method that has become standard in cognitive, social, personality, and some research in clinical psychology is to recruit participants from undergraduate psychology classes and to make inferences about the human mind based on them. This critique is not new (e.g., Gergen, 1973; Sears, 1986). Yet this method is rarely called into question (with some important recent exceptions, Medin & Atran, 2004; Rozin, 2001), underscoring how most psychologists implicitly assume that the findings that derive from a particular sample, bounded by context, historical time, and social class, would generalize to other contexts.

Exacerbating this issue of non-representative sampling is an issue of uneven geographical representation in research. A recent survey of all the published papers in the history of the *Journal of Personality and Social Psychology,* the flagship journal of social and personality psychology, revealed that 92% of the papers originated from the US and Canada, and a full 99% emerged from Western countries (Quinones-Vidal, Lopez-Garcia, Penaranda-Ortega, & Tortosa-Gil, 2004). This pattern is not unique to social psychology, however, and if anything, is exacerbated in other fields of psychology. An analysis of the proportion of major journal articles in psychology from 1994 to 2002 that included the keyword “culture,” found that the term appeared in only 1.2% of the articles in major cognitive and experimental psychology journals, 3.1% of major clinical psychology journals, 4.3% of major developmental psychology journals, and 4.8% of major social psychology journals (Hansen, 2004). Thus many psychologists have thus not been studying *human* nature – they have been investigating the nature of educated, middle-class, young adult Westerners (or the children of such people). This sampling issue is

especially problematic given that Western middle-class populations from which most psychology samples are derived, far from being typical of the world, happen to represent a cultural anomaly in that they are unusually individualistic, affluent, secular, low context, analytic, and self-enhancing with respect to the rest of the world (Fiske, Kitayama, Markus, & Nisbett, 1998; Lipset, 1996; Triandis, 1995). It is reasonable to restrict our investigations to the most convenient samples if the processes that we are studying are known to reflect a common, underlying human nature. However, this convenience bears a substantial cost if we wish to *question* whether psychological phenomena are universal. The bedrock of the psychological database, consisting of cumulating layers of findings from Western middle-class college-educated young adults and their young children, prevents us from testing this assumption.

Assuming universals from a restricted database is not just a theoretical problem for psychology. It is an empirical one too. The past two decades has witnessed an explosion of research on cultural psychology. Much of this research has identified just how poorly many of our theories and findings generalize to other cultural contexts. This observed cultural diversity has not been restricted to a narrow subset of marginal phenomena; rather it cuts across the central theories and findings of psychology. For example, some phenomena that are less evident or appear in significantly divergent forms in other cultures include, from cognitive psychology, memory for and categorization of focal colors (e.g., Roberson, Davidoff, Davies, & Shapiro, 2004; Roberson, Davies, & Davidoff, 2000), spatial reasoning (Levinson, 1996), certain aspects of category-based inductive reasoning (Bailenson et al, 2002; Medin & Atran, 2004), some perceptual illusions (e.g., Segall, Campbell, & Herskovits, 1963), habitual strategies for reasoning and categorization (e.g., Nisbett et al., 2001; Norenzayan, in press), the relation between thinking and speaking (e.g., Kim, 2002), certain aspects of numerical reasoning (Miller

& Paredes, 1996; Gordon, 2004); from judgment and decision making, preferred decisions in the ultimatum game (e.g., Henrich et al., in press), and risk preferences in decision making (Hsee & Weber, 1999); from social and personality psychology, independent self-concepts (e.g., Markus & Kitayama, 1991), the similarity-attraction effect (e.g., Heine & Renshaw, 2002), motivations for uniqueness (e.g., Kim & Markus, 1999), , the fundamental attribution error (e.g., Miller, 1984; Morris & Peng, 1994; Norenzayan & Nisbett, 2000), self-enhancing motivations (e.g., Heine, Lehman, Markus, & Kitayama, 1999), predilections for violence in response to insults (e.g., Nisbett & Cohen, 1996), high subjective well-being and positive affect (e.g., Diener, Diener, & Diener, 1995; Kitayama, Markus, & Kurokawa, 2000), feelings of control (e.g., Morling, Kitayama, & Miyamoto, 2002), and consistent self-views (e.g., Suh, 2002); from clinical psychology, the prevalence of major depression (Weissman et al, 1996), depression as centered on negative mood (e.g., Kleinman, 1982; Ryder, 2004), social anxiety (Okazaki, 1997), the prevalence of eating disorders such as anorexia nervosa and bulimia (e.g., Lee, 1995), and a number of other indigenous syndromes that have not yet received much attention in the West (e.g., *agonias* among Azoreans, James, 2002; *ataque de nervio*s among Latino populations, Liebowitz et al., 1994; *hikikomori* among Japanese, Masataka, 2002; and *whakama* among the Maori, Sachdev, 1990); and from developmental psychology, the noun bias in language learning (Tardif, 1996), moral reasoning (e.g., Miller & Bersoff, 1992; Shweder, Much, Mahapatra, & Park, 1997), the prevalence of different attachment styles (e.g., .Grossmann, Grossmann, Spangler, Suess, & Unzer, 1985), and the tumultuousness and violence associated with adolescence, Schlegel & Barry, 1991). This growing body of research exploring cultural diversity in psychology urges the field to take a step back to reconsider how we can conceptualize whether psychological phenomena are universal.

The Need for Methodological Criteria for Investigating Psychological Universals

The relatively long history of debating human universals in the anthropological literature has greatly informed the investigation of psychological universals (for examples, see Atran & Norenzayan, in press; Berlin, 1992; Berlin & Kay, 1969; Brown, 1991; Daly & Wilson, 1988; Medin & Atran, 1999). Nevertheless, there are enough differences between the fields of anthropology and psychology to warrant distinct (but hopefully converging) efforts to develop methods that can facilitate the search for psychological universals**.** We identify three reasons for this. First is the issue of geographical limitations. The investigation of universals will be indebted to the methodical documentation of cultural diversity compiled by the pioneering efforts of anthropologists throughout the 20th century. In particular, the Human Relations Area Files database is of considerable utility for questioning what is universal, although conclusions are limited by the reliability and validity of the individual ethnographies. However, the extensive coverage of the anthropological database is something that psychology may strive towards, yet not fully attain. It is unrealistic to expect many psychologists to regularly launch the same kind of ambitious enterprises to explore the varieties of psychological experiences in all known cultures on the planet. Thus, the psychological database will likely remain relatively impoverished in terms of the numbers of cultures explored compared with that amassed through a century of ethnographies. Nevertheless, this does not mean that questions of universals cannot be empirically tested. It suggests the need to adopt strategies that can inform these questions in the absence of the rich and extensive database covering many of the world's cultures.

A second key difference between psychology and anthropology is that psychology's object of study, the workings of individual minds, is different from that of anthropology, which investigates human lives in their broader ecological contexts. A consideration of psychological

universals requires guidelines that can inform investigations of processes that are traditionally the focus of psychological research: attention, memory, self-concepts, mental health, cognitive strategies, decision rules, emotional programs, perceptions, motives, personality structures, language acquisition, causal theories, and other mental representations of the world. In contrast, the question of potential universals in the anthropological sense (for a thorough discussion, see Brown, 1991) is targeted at a different set of characteristics. These may include family and social structures (governance, kinship relationships), social practices (coming of age rituals, treatment of the dead), and the use of tools (fire, weapons). Whether these are social phenomena that are superorganic and theoretically autonomous from individual minds (e.g., Geertz, 1973; Durkheim, 1915/1965), or more plausibly, are causally connected social distributions of mental representations and their material effects in a population (e.g., Atran & Sperber, 1991; Sperber, 1996; see also Boyd & Richerson, 1985), universals at the collective level diverge from psychological universals in important ways. Different objects of study require different standards of evidence: for example, posing questions about cultural practices such as initiation rites and kinship terminology require different kinds of evidence obtained by participant observation, linguisitic analysis, and data collection at the societal level than posing questions about psychological phenomena such as cognitive dissonance and loss aversion, which are best approached through controlled experimentation at the individual level.

The third difference between psychology and anthropology reflects the most commonly used methodologies within the two fields. Anthropological data have largely been amassed through qualitative ethnographic methods, whereas psychological data are largely the product of quantitative methods that employ experimental and correlational designs. These methods have their respective strengths and weaknesses, but differ regarding issues of sampling, measurement,

replicability, experimental control, generalizability, and the richness of the data. The methods are different enough that it is relatively rare for psychologists and anthropologists to consider each other's data. We submit that such cross-fertilization would greatly benefit the study of universals for both fields, provided that psychologists were better able to develop systematic ways of examining their phenomena cross-culturally.

Despite growing interest in psychological universals, there is as of yet no set of agreed upon methodological criteria by which we can consider universals. In the absence of such criteria, researchers have largely relied on appeals to their readers' intuitions as to what kind of data would strengthen the case for universality. It is urgent for the field to consider some guidelines by which research endeavors regarding psychological universals can be facilitated.

In sum, we are proposing that the investigation of psychological universals will benefit from a consideration of strategies that are appropriate for the idiosyncrasies of psychological research. Methods are needed by which universals can be investigated without resorting to an exhaustive sampling of every culture of the world, guidelines for investigating questions of universality of psychological phenomena, and data collection efforts that can accommodate the peculiarities of the quantitative methods used by most psychologists.

Research Strategies to Test Hypotheses Regarding Psychological Universals

Establishing the universality of a phenomenon entails generalizing across diverse populations, to humanity or a broad subset thereof (e.g., all adolescents, all adult men, all literate people)[1]. Generalizability across cultures is a special case of the generality of effects across contexts, items, and populations in psychology (Abelson, 1996; Shavelson & Webb, 1991).

An important initial challenge in this endeavor is the issue of comparability of measures across cultures. That is, cross-cultural comparisons are successful only to the extent that the

meaning of the questions and experimental settings are known to be roughly similar across cultures (Poortinga, 1989; Van de Vijver & Leung, 1997; Pepitone & Triandis, 1987). Although this issue often defies easy solutions (e.g., Heine, Lehman, Peng, & Nisbett, 2002; Peng et al, 1997), it is a problem that has been addressed with a number of converging strategies available in the cross cultural literature, including back-translation, emically (locally) derived measurement, multimethod observations, and establishing equivalency of meaning in control conditions (for reviews, see Berry, Poortinga, & Pandey, 1997; Okazaki & Sue, 1995; Triandis, 2000). Indeed the research strategies reviewed below reflect the profitable use of such tools.

The generality of effects across cultures can be investigated systematically. We focus on three cross-cultural research strategies that can shed light on claims of universality. The two-cultures approach relies on convergent evidence for a psychological phenomenon in divergent cultural contexts. The three-cultures or triangulation approach achieves the same goal, examining the generality of a phenomenon across two well-defined cultural dimensions. Finally, the cross-cultural survey approach is the most powerful in establishing universality, but it comes with its own methodological challenges and is also the costliest of all cross-cultural research strategies.

Generalizability across two cultures

The simplest strategy that encourages claims of universality is to compare two populations that vary greatly on as many theoretically relevant dimensions as possible, such as social practices, philosophical traditions, language, geography, socioeconomic status, literacy and level of education. The claim of universality is strengthened to the extent that the same psychological process or phenomenon emerges in widely divergent contexts. The more divergent the contexts, the more powerful are the claims of universality.

Consider, as an illustration, studies of children's theory of mind across cultures. At about 4-5 years of age, preschoolers develop an elaborate "theory of mind," which entails, among other things, the attribution of beliefs and desires to people, and the appreciation that people may have false beliefs (Wellman, 1990). It has been argued that a theory of mind is fundamental to social functioning, and may be critically implicated in the human ability for cultural learning (Tomasello et al, 1993).

Studies of children's theory of mind have been conducted among North American and Western European children. Thus a critical question is whether a mentalistic framework for the understanding of human behavior found in Western children is a reflection of Western cultural contexts, or a reflection of universal early childhood development. To address this question, Avis and Harris (1991) examined the theory of mind in Baka children. The Baka are a pygmy people who live in the rainforests of southeast Cameroon. They are non-literate hunter-gatherers with little or no exposure to Western philosophical ideas that may potentially contribute to mentalistic interpretations of human behavior. Thus the Baka and Western children represent sharply divergent cultural contexts.

Avis and Harris examined the "false belief" task, a widely used measure of theory of mind. In this task, children of different ages were invited to move the location of a desirable food from its container to a hiding place in the absence of the adult preparing the food. The children were then asked to predict whether the returning adult would look for the food in the container (the "false belief" answer) or the hiding place (the "true belief" answer).

The results largely replicated the pattern found among Western children. A majority of older children passed the false belief task, correctly predicting that the adult would approach the empty container and not the hiding place to which the food was moved. A minority of younger

children were also systematically correct. Similar to Western children, by age 4-5, Baka children were good at predicting a person's behavior based on that person's beliefs. The fact that a similar mentalistic understanding of behavior emerged at around the same age in sharply divergent cultural contexts strengthens the case that the ability to appreciate false beliefs is a functional universal, largely determined by pancultural processes of human development.

Cross-cultural comparisons of theory of mind reasoning have been sparse and unsystematic. The existing evidence points to both universality and cultural variability (Wellman, Cross, & Watson, 2001; see also Lillard, 1998, for a discussion of ethnographic accounts of cultural variability). In a recent meta-analysis of theory of mind reasoning across cultures, Wellman et al (2001) found that the developmental trajectory in children's false belief performance was the same across cultural and linguistic contexts, although cultural variation was found in performance rates at any given age group. No single variable has been identified so far that predicts the cross-cultural differences.

More concerted research is required to reach firm conclusions about the universality of theory of mind reasoning. However Avis and Harris' study illustrates the power of the two-cultures approach in bolstering a claim for universality (see also Flavell, Zhang, Zou, Dong, & Qi, 1983, for similar evidence among Chinese children). Cultures that are theoretically maximally divergent on the domain under question yield the most convincing examples of potential universals (e.g., comparing color perception across groups that differ in their color terms, Heider & Oliver, 1972; but see Roberson, et al, 2000, 2004; comparing facial expressions across cultures with minimal shared cultural history and contact with each other, Ekman, Sorenson, & Friesen, 1969).[2]

Consider a case where the two-culture strategy fails to corroborate a universality claim of a process in developmental psychology. Carey (1985) has proposed an influential argument that children until the age of 10 do not possess a distinct folkbiological understanding; instead, they project their folkpsychological understanding on the natural world. As a result, young children's understanding of biological phenomena is anthropocentric and intertwined with folkpsychological notions. In support of this argument, Carey presented evidence from studies of preschoolers in Cambridge, Massachusetts, indicating that projections of unknown properties from humans are stronger overall than projections from other animals; projections from humans to mammals are stronger than projections from mammals to humans; and most surprisingly, projections from humans to bugs are stronger than from even bees to bugs. Together, these findings suggest that children privilege humans for their inferences about the natural world.

Given that Carey's evidence comes exclusively from a North American urban population, it is an open question as to whether the Cambridge children's human-centered inferences are reflective of a universal cognitive tendency, or a cognitive pattern that is reflective of the unique circumstances of North American middle class culture. To answer this question, a recent study compared biological reasoning among urban American children and rural Menominee Indian children of northern Wisconsin (Medin & Atran, 2004). Menomenee children live in contexts that depart considerably from the Cambridge, Massachusetts cultural milieu. They live in a rural environment where children are immersed in the natural world of plants and animals at a very early age. The urban American children again made projections that were human-centered, by and large replicating Carey's (1985) findings. However, contrary to Carey's argument, Menominee children did not privilege humans over other animals. They did not make stronger projections from humans than from other animals; they did not make stronger projections from

humans to mammals or humans to bugs than from mammals to other mammals or from bees to bugs. The researchers argued that urban American children's anthropocentric bias is likely to be a reflection of a particular cultural circumstance – a lack of sufficient exposure to nonhuman species – leading to an impoverished folkbiological domain, rather than a universal tendency in causal understanding. Alternatively, it is conceivable that Menomenee children may start with an anthropocentric framework from an early age, but overcome this tendency through cultural training by the age of ten. Either way, folk psychology guides folk biology only in the absence of a well-developed folkbiological framework, as they argued to be the case in urban American culture (see also Atran, Medin, Lynch, Vapnarsky, Ucan Ek' & Sousa, 2001, regarding a similar pattern of results among rural Yucatec Maya children).

As compelling as this explanation for the cultural differences may be, the basic design of the two-cultures strategy makes it difficult to isolate the sociocultural variable that is at the core of the difference. For urban middle-class children in Massachusetts and Menomenee children in northern Wisconsin differ not only in their degree of exposure to the natural world, but in numerous other ways as well. The two-cultures strategy works well as long as findings point to universality. But the very strength of this strategy is its principal weakness when cultural differences are found. The three-cultures strategy, discussed next, addresses this limitation.

Generalizability across three cultures

The three-cultures or *triangulation* strategy entails a two-step process (see Bailenson et al, 2002, and Medin & Atran, 2004, for further discussion). In the first step, a psychological phenomenon is examined across two cultures A and B that are known to vary on a carefully selected theoretically relevant variable 1. In the second step, a third culture C is included that varies on another theoretically relevant variable 2 from one of the previous two cultures A or B.

As a result, cultures A and B would be different on dimension 1, whereas cultures B and C would be different on dimension 2. The goal of this strategy is twofold. First, it facilitates generalization across two maximally different sociocultural contexts or theoretical dimensions that are known to affect psychological processes. Second, should researchers find a cultural difference, this design sheds light on the specific population variable that is implicated in the psychological difference. In this approach, different dimensions of cultural variation are relevant for a given cross-cultural study. In one case, a cultural difference in the availability of a linguistic structure may be most relevant, whereas in another case, the presence or absence of institutions that enforce cooperation may be most relevant. An important task for the researcher then is to start with good intuitions or theoretical expectations to guide the careful selection of the most appropriate samples.

Consider studies on category-based induction in folkbiology (Medin & Atran, 1999; Bailenson et al, 2002; see also Medin, & Atran, 2004). In these studies, the cross-cultural generality of an influential model of category-based induction, the Similarity-Coverage Model or SCM was explored (Osherson, Smith, Wilkie, Lopez, & Shafir, 1990). Two inductive reasoning phenomena predicted by the SCM, *similarity* and *diversity*, were examined among American college students and Maya villagers in the Petén region of Guatemala--a small-scale, non-industrialized, semi-literate society. Given the disproportionate reliance on university student samples in cross-cultural comparisons, this research program is an especially important investigation regarding the generality of psychological phenomena.

In the SCM of inductive inference, the similarity of premises to conclusions predicts the strength of inductive inference (the similarity phenomenon). Thus, given that *robins* have some unknown property, people feel more certain that *sparrows* also have that property than that

crows have that property. Also, the more diverse are the premise categories, the stronger the inductive inference to a conclusion category that subsumes these premise categories (the diversity phenomenon). Given that White Pine and Weeping Willows get one new disease, and River Birch and Paper Birch get another new disease, people reason that the former disease is more likely to affect all trees, since Weeping Willows and White Pine offer better “coverage” of the category tree. It was found that, whereas both North American undergraduates and Maya villagers reasoned based on similarity, only North American undergraduates showed any evidence of reasoning based on diversity.

However this cultural difference is difficult to interpret, given that American undergraduates and Maya villagers differ in myriad ways, including ethnicity, language, education, SES, age, and knowledge of the natural environment. Medin and colleagues took the additional step of examining inductions of a third cultural group --American tree experts in suburban Chicago. These tree experts share similar cultural characteristics as college undergraduates, but differ mainly in the degree to which they are knowledgeable of the biological world and make daily use of this knowledge. Maya are similar to American tree experts in that they also possess a deep biological knowledge and use it on an everyday basis. However they differ from both American samples in that the Maya have scant exposure to Western philosophical ideas that have shaped Western perceptions of the natural world.

Two types of American tree experts (taxonomists and park maintenance workers) showed a mixture of ecological and diversity reasoning; the amount of ecological reasoning depended on the type of expertise (Proffitt, Coley, & Medin, 2000). Whereas taxonomists reliably used diversity strategies, park maintenance workers, similar to Maya villagers, reasoned ecologically, showing little evidence of diversity. Ecological justifications of the park maintenance workers

centered on the frequency and range of tree distribution, susceptibility and resistance to disease, or mechanisms of disease transmission (Profitt et al, 2000). The authors concluded that, immersion in the biological world is the key factor that affects ecological reasoning, and in the absence of such expertise, people revert to the diversity heuristic.

Finally, a particular strength of the triangulation strategy is that it circumvents what often are circular cultural explanations of behavior (e.g., “U.S. Southern males respond violently to insult because of their Southern culture of honor, which fosters insult-related violence.”) Such circularity arises when cross-cultural researchers fail to isolate and measure the ecological variables of interest, instead choosing a sample that “embodies” the ecological variable (see Bailenson et al., 2002). By targeting a third sample that is culturally similar to one sample but shares the ecological conditions of the other, triangulation sidesteps this circularity problem by disentangling an ecological variable from its conventional population. Consider, for example, inner city men in the US Northeast, who share a culture of honor and a similar ecological milieu as Southern US men (perceptions of scarcity of economic resources, coupled with distrust in state protection and feelings of vulnerability to predation). They are, however, arguably more similar to US Northern men in political and social attitudes, and other cultural characteristics. Nevertheless, they would be expected to behave more like Southern US men when faced with a public affront to their reputation (Nisbett & Cohen, 1996; see also Anderson, 1994).

Cross-cultural study

A strategy that affords both the strengths of the two-culture and three-culture comparison strategies is the cross-cultural study in which a wide array of the world’s cultures are assessed with the same measure. Whereas the two-culture approach targets universality by looking for similarities in two maximally-divergent groups, and the three-culture approach looks for

universality by revealing commonalities along two psychological dimensions that vary greatly across cultures, the cross-cultural survey's strength is its sheer coverage of the world's cultures. Statements about universality are greatly strengthened when the findings apply to an approximation of the world's database of cultures. This strategy has been pursued in a number of high-profile research projects (e.g., Buss, 1989; Diener, Diener, & Diener, 1995; Hofstede, 1980; Russell, 1991; Schwartz, 1992). A compelling case for universality can be made when a phenomenon is clearly identifiable in a large and diverse array of cultures.

A prototypic example of the cross-cultural study is Daly and Wilson's (1988) investigation into sex differences in homicide. They reviewed evidence from 35 samples in 19 different countries that covered the gamut of contemporary industrialized countries, to that of hunting and gathering cultures, to that of 13th century England. In each sample, men were found to engage in same-sex homicides far more than were women. The breadth of the coverage of their samples renders the evidence for some universality in sex differences in homicide to be rather unassailable, although there is room for debate regarding what particular underlying mechanisms are responsible for the universality (e.g., Eagly & Wood, 1999).

The cross-cultural study has the greatest potential for making compelling cases about universals. However, there are a number of challenges inherent in this strategy that can work against its effectiveness. Because it is, by nature, an especially costly and effort-intensive strategy, researchers inevitably are drawn to take some shortcuts in its application. For example, the data that tends to be most accessible to psychologists is that from student samples from universities in industrialized cultures. It is quite possible that any identified similarities across such samples would reflect the similar cultural experiences that people in these samples have had, rather than revealing broader underlying universals. A more compelling argument for

universality would require the inclusion of samples that fall outside of these accessible ones, for example, a dataset that includes non-student samples and samples from subsistence societies (for some exemplars of this approach, see Atran, 1998; Brown, 1991; Henrich et al., in press; Medin & Atran, 1999; Berlin & Kay, 1969; Fiske, 1991; Russell, 1991; Segall et al., 1963). The Human Relations Area Files is a useful tool for gaining access to data from subsistence societies, although one is restricted at looking at the kinds of data that were collected by the original ethnographers. Another way to reduce the difficulty of conducting experiments in a diverse array of cultural contexts is by conducting meta-analyses on studies that have been conducted independently around the world (e.g., see Bond & Smith's 1996 meta-analysis of conformity studies using the Asch paradigm).

A second challenge for the cross-cultural study is the trade-off between the amount of experimental rigor that can be applied in a given study and the number of cultures that are included. It is challenging and costly, for example, to run a laboratory study in many different cultures at once and maintain a high degree of experimental control and faithfulness to the local cultural meanings of the variables and experimental procedures. Not surprisingly, the vast majority of psychological studies that target a large number of cultures do so by the way of brief questionnaire measures. There are many methodological challenges to comparing cultures, and cross-cultural comparisons of mean responses to subjective Likert scales is a method that is especially prone to methodological artifacts. Without proper experimental controls, such comparisons suffer from moderacy response biases (e.g., Chen & Stevenson, 1995), acquiescent response styles (e.g., Choi & Choi, 2002), deprivation effects (Peng et al., 1997), and reference-group effects (Heine et al., 2002). Because of these shortcomings that are inherent in cultural comparisons of Likert scale measures which are used in so many applications of the cross-

cultural survey, this method for exploring universals has rarely been used to its full potential. The cross-cultural survey is a powerful tool when used in conjunction with experimental methods that are not contaminated by these methodological shortcomings (see Heine et al., 2002, for a review).

A third challenge facing the cross-cultural study method is to broaden the scope of psychological variables under investigation sufficiently so that many culture-specific conceptions of the variable are not missed. This is especially problematic when a single method is used to assess a variable across cultures; the problem can be alleviated somewhat by multimethod measurement. In either case, however, a narrow conceptualization of a psychological variable can hinder the discovery of universals. With sufficient expansion of the construct to include the diversity of ways in which it is manifested across cultures, genuine universals as well as culture-specific patterns can be identified with greater confidence. For example, subjective well-being and happiness have been fruitfully investigated, and some potentially universal predictors of subjective well being, as well as cultural differences in these predictors, have been identified across cultures (e.g., Diener et al, 1995; Oishi, Diener, Lucas, & Suh, 1999). Nevertheless, it is conceivable that, without adequate examination of a construct's applicability in different cultures, other cultural manifestations of what psychologists consider happiness may be missed, for example spirituality, a sense of connection or union with the natural world, or a sense of living a meaningful yet difficult life.

Section Summary and Conclusions

Psychologists need not canvass all the world's myriad cultures in search of empirically-grounded psychological universals. Cross-cultural comparisons, designed with precision and based on the theoretically relevant selection of cultural samples, can yield profound insights into

universals. We listed several relatively simple and cost-effective research strategies that can be used to test the degree of generality of a psychological process or phenomenon.

Although we focused on cross-cultural comparisons as the indispensable and definitional tool for establishing the degree of universality of psychological phenomena, we note briefly that several non-cross-cultural research strategies are also available to make a case for universality. These may include cross-species comparisons, such as those identifying the widespread nature of kin selection mechanisms across species (e.g., Hamilton, 1963); studies tracking psychological tendencies such as female sexual attraction or male aggressiveness as a function of biochemical or hormonal fluctuations (e.g., Gangestad, 2004); studies highlighting the neural bases of psychological structures, such as numerical reasoning, drawing on neuroimaging techniques or examining patients with selective brain injuries (Dehaene, 1997); and infant studies, establishing the early emergence of psychological expectancies in infants, such as intuitions regarding physical causation (e.g., Spelke, Phillips, & Woodward, 1995). However encouraging these approaches are for exploring universality, by themselves they are inadequate for establishing the cross-cultural generality of psychological phenomena. Cultural experience can exert its effects from early infancy, perhaps even in the womb, as is the case for discriminating speech sounds (Mehler et al, 1988; Polka & Werker, 1994), and throughout adulthood. Furthermore, it is probable that cognitive primitives—whether or not shared with other species—would be elaborated, added to, and possibly modified by cultural experience. Also, many innate tendencies undergo maturational development, and may not emerge at all until later when the child's mind is already fully immersed in, and dependent on, a cultural environment. Finally, to the extent that sociocultural practices diverge, so will the psychological structures (e.g., Carey, 2004, Cole, 1996; Vygotsky, 1978), often leading to neural specialization of culturally acquired abilities such

as reading (Polk et al, 2002). For these reasons, it is critical to combine evidence from these approaches with cross-cultural data that speaks directly to the degree and nature of cross cultural generality. Cross-cultural comparisons are of central importance in the quest for psychological universals, a matter to which we turn next.

Why Study Psychological Universals?

The eye cannot see its own lashes

Chinese Proverb

We take it as our starting point that psychology as a science would be on firmer ground with the discovery and description of empirically tested psychological universals and near-universals[3] that are genuinely shared by most or all human beings across cultures. Our task then is to articulate a conceptual framework that can facilitate research to achieve this goal. We anticipate that most psychologists would agree that, at some level, cultural contexts are implicated in psychological processes. Similarly, we expect that most psychologists would agree that, at some level, members of the human species share universal conceptual and motivational primitives that interact with cultural contexts in important ways: psychological building blocks without which cultures and cultural learning would be impossible. Hence, understandings of cultural diversity and universals are viewed as integral to much psychological reasoning. However, the challenge in considering universals within a context of cultural diversity is to target an appropriate level of analysis to make sense of them. At too abstract a level, universals are too diffuse to be of significant empirical import (Geertz, 1973). At too concrete a level, however, it is unlikely that universals will be identified. The key is to articulate the optimal level of abstraction that renders potential universals useful in research, general enough to occur, yet tangible enough to have psychological authenticity.

Psychology's narrow empirical base is an obvious and daunting obstacle to the discovery of genuine psychological universals. Indeed, to the extent that a phenomenon has been identified, say, only in middle-class suburban Chicago, does not inform whether that phenomenon is similarly present but undocumented elsewhere, present in a different form, or is largely absent elsewhere. Perhaps the most important rationale for cross-cultural research, then, is that systematic empirical observation is an essential part of disentangling the culture-specific from the universal. Because the forms and practices of one's own culture seem so natural and obvious, it is easy to presume that the psychological processes that we are observing reflect psychological universals, readily spotted in San Francisco, Stockholm, or Sydney. Why, then, bother going to conduct comparable studies in Jordan, Japan, or the remote corners of Java? We argue that this restricted database has led psychologists to inherit a sense of "culture-blindness," where they are prone to conflate psychological universals with their culture-specific manifestations. The problem with such conflations is that they greatly complicate efforts to articulate what particular psychological phenomena have evolved to serve what particular functions.

Consider, for example, the debate over the universality of marriage from the anthropological literature (e.g., Goody, 1977; Levi-Strauss, 1969). If defined as a form of institutionalized arrangement for men and women to form a long-term mating relationship that facilitates the conception and caring of offspring, then marriage is universal, as in all cultures there are such relationships that are recognized and privileged (Brown, 1991). However, at the level of particular cultural instantiations, we see a wide variety of marriages around the world (e.g., arranged monogamy, voluntary serial monogamy, polygyny, fraternal polyandry, endogamy, and exogamy). If we are interested in articulating the evolutionary origins of marriage it is crucial that we are targeting the appropriate level of analysis. An evolutionary

account that conflates, say, serial monogamy with the more abstract practice of marriage would not be very persuasive given that exclusively monogamous relations are not common in many cultures. In contrast, an evolutionary account for the origins of marriage (i.e., a long term mating alliance in order to conceive and care for offspring), given its universal presence in societies, would be on much firmer ground. However tempting it might be to endeavor to understand the evolutionary origins of marital relations by considering the specific instantiations of them readily available in our culture, one does so at the risk of conflating the particular with the universal. Unless our analyses consider the other specific instantiations of the practice, we cannot determine whether our hypothesis is limited to the concrete, particular level, or can address the more encompassing abstract, universal level.

For a psychological example, consider the question of whether a need for positive self-regard is a psychological universal. The idea that people are motivated to seek and maintain a positive self-view is a foundational assumption of many theories in psychology (e.g., Allport, 1955; James, 1950/1890; Taylor & Brown, 1988) and, thus, the question of whether a need for positive self-regard is universal is an important one. A perusal of the evidence for motivations for positive self-regard across cultures, however, underscores the importance of being explicit about the level of abstraction that one is considering. One way to consider the question of whether people are motivated to have positive self-regard is to conceive of positive self-regard as *self-enhancement*. Self-enhancement is operationalized in most empirical studies as tendencies to dwell on and elaborate positive information about the self relative to information about one's weaknesses (e.g., Heine, in press; Taylor & Brown, 1988).

At this level of abstraction there is a great deal of cultural variability in motivations for positive self-regard. Cross-cultural comparisons of East Asians and Westerners reveal consistent

and pronounced differences in self-enhancement motivations in dispositional measures of positive self-views, measures of self-serving biases, and in reactions to success and failure feedback (Heine et al., 1999). A recent meta-analysis of published studies comparing self-enhancement motivations between East Asians living in East Asia with Westerners found significant cultural differences that emerged consistently with an average effect size of $\underline{d} = .85$ (Heine & Hamamura, 2005). Furthermore, whereas studies of self-enhancing biases reveal consistent and pronounced evidence for self-enhancement among Westerners (average $\underline{d} = .86$), overall, there is scant evidence for self-enhancement among East Asians living in East Asia (average $\underline{d} = -.02$). This lack of self-enhancement among East Asians does not appear to be due to experimental artifacts (for reviews of debates about these artifacts see Heine et al., 1999; Heine, 2003; Heine, in press; but for a dissenting view see Brown & Kobayashi, 2002). In contrast to the pursuit of self-esteem and a reliance on self-enhancing motivations, East Asians appear to be more concerned with securing face, and relying on self-improving motivations (Heine, 2005; Heine et al, 1999). Self-enhancing motivations appear to be far weaker, if not largely absent, among people participating in East Asian contexts.

How might we consider the evolutionary origins of motivations underlying a need for positive self-regard? That tendencies to possess, exaggerate, and make compensatory efforts to maintain positive self-views appear so pervasive and strong within Western samples has led some researchers to propose that this motivation, operationalized as self-enhancement, has been selected for in the ancestral environment. A variety of different accounts have been proposed for how the self-enhancement motive might have emerged as an adaptation. For example, Barkow (1989) proposed that self-esteem was selected to serve as a gauge of subtle changes of the individual's status within dominance hierarchies. Leary and colleagues (Leary, Tambor, Terdal,

& Downs, 1995) argued that self-esteem is an adaptation that functions as an indicator to detect when our social relationships with others were vulnerable. Terror management theory (Pyszczynski et al., 2004) maintains that self-esteem emerged as an adaptation that serves to stave off the debilitating existential anxieties that come from fears of mortality. These divergent theories share a common theme: a motivation as powerful and pervasive as self-enhancement must serve to increase fitness, especially given the costs that individuals must sometimes bear for holding these motivations (e.g., Baumeister, Smart, & Boden, 1996, Paulhus, 1998).

If a theory proposes that self-enhancement has evolved to solve some kind of problem in the ancestral environment, such as status, or belongingness, or quelling existential anxieties, then we should see evidence for this motivation in all cultures, or at least in all cultures where concerns with status, belongingness, and existential despair are as evident as they are in the West. As noted, the pronounced lack of evidence for self-enhancing motivations among East Asians suggests that such evolutionary accounts are problematic. If self-enhancement serves the function of maintaining status, belongingness, or quelling existential fears, then why in cultures such as East Asia, where concerns with status and belongingness are arguably stronger than they are in the West (e.g., Heine, 2001), and existential fears would seem to be at least as strong (Heine, Harihara, & Niiya, 2002), do we see much *less* evidence of self-enhancement? An evolutionary account of the origins of the self-enhancement motivation needs to be able to address why the motivation appears so much stronger in Western cultures than in East Asia.

A compelling evolutionary account for the origins of a need for positive self-regard, then, would need to consider the adaptive value of such motivations at a level of abstraction where universality is evident. Positive self-regard can also be considered in terms of "being a good self," that is, striving to be the kind of person viewed as appropriate, good, and significant in

one's culture (e.g., Crocker & Park, in press; D'Andrade, 1984; Heine et al., 1999; Kluckhohn, 1962). Heine and colleagues have argued that motivations for self-enhancement and self-esteem are means to the end of becoming a good self in the West, whereas motivations for self-improvement and face are different means to the same end for East Asians (Heine, 2003; Heine, 2005; Heine et al., 1999). At this level of abstraction, a need for positive self-regard can be a plausible candidate for a psychological universal, and we propose that the most compelling evolutionary accounts for this motivation will be targeted at this level (see Heine, Proulx, & Vohs, 2005).

Naturally selected psychological processes do not preclude the possibility that such adaptations are expressed in altered forms in different populations (Cohen, 2001; Kenrick et al., 2003; Kenrick, 2001). Given that human brains evolved to function in social groups and be responsive to the workings of other minds (e.g., Dunbar, 1992; Tomasello, et al, 1993), adaptations such as cooperative behaviors, or aggressiveness in males, can be best conceptualized as contingent rules that are sensitive to ecological variation (e.g., if neighbor cooperates, then cooperate in return; Kenrick et al., 2003). Variation in the social geometry (e.g., proximity and frequency of encounters with cooperators or competitors; e.g., Vandello, 2004), or variation in demographic imperatives (e.g., the distribution of hawks vs. doves in the neighborhood), as well as variation in subsistence niches (herding of animals vs. cooperative agriculture) enable, modify, amplify, and suppress evolved psychological tendencies, resulting in sociocultural diversity in behavior across the world (Cohen, 2001). Here again, the proper level of abstraction is of utmost importance. To the extent that psychologists focus on the wrong level of abstraction, evolutionary explanations will likely lead to theoretical blind alleys.

Cultural psychology is concerned with disentangling the culture-specific level of psychological processes from the processes that are common to all. The challenge of this endeavor is that we rarely encounter psychological processes at the more abstract, universal level directly. They appear to us in culturally-instantiated forms. In some cases, the instantiations are not so diverse that the universal processes are clearly discernable (e.g., preferences for sweet and fatty foods, Rozin, 1976; sex differences in violence, Daly & Wilson, 1988). In others, however, the instantiations are varied enough to distract us from attending to the underlying universals that are hidden from view (e.g., Heine et al., 1999; Markus & Kitayama, 1991; Nisbett et al., 2001; Shweder et al., 1997). It is only by considering cultural diversity that we can identify where we might be conflating the particular with the universal.

A critical step, then, is to distinguish the culturally instantiated level from the universal underlying level for successfully considering both cultural diversity and universality of psychological phenomena. However, we recognize that discussions about which levels of abstraction are most appropriate tend to be themselves rather abstract, often muddled, and rarely productive, as in many cases there is little agreement with respect to the bases in which the abstractions are grounded. Theoretical confusion is likely to emerge from differing, but often unarticulated assumptions of what *level* of a universal exists across cultures. Research on the universality of psychological processes would be more productive if conceptual frameworks were available to anchor findings and inform debates about levels of universals. Next, we propose such a framework of distinctions regarding cultural differences and universals.

A Hierarchy of Psychological Universals

We propose three levels of universals and one case of non-universal that can be observed cross-culturally. This model rests on a powerful analogy of the mind as a *toolbox* (Cole, 1996;

Piaget, 1952; Resnick, 1994; Stich, 1990; Vygotsky, 1978). Psychological processes, including cognitive structures, emotions, and motivations, can be thought of as tools for thought and behavior. Just as the handyman's specialized toolbox is utilized to construct, repair, add, and transform, the mental toolbox is accessed to solve the myriad problems of everyday life. In a world joined together by nails, a hammer is a more useful tool than a wrench. In a world held together by nuts and bolts, a wrench is a more useful tool than a hammer. To the extent that the worlds in which people inhabit are different, or are believed to be so, divergent affordances emerge that elicit the use of different tools. Just as hammers might be considered to be more useful tools than wrenches for construction in some contexts, rules might be considered to be more useful tools than exemplars for decision making in some contexts.

This perspective leads us to ask three questions about the comparability of cognitive tools across cultures. First, are the tools in the cognitive toolboxes the same or different across cultures? Second, even if the tools are the same or nearly the same, are different tools used in the same situations? In other words, do people rely on the same tools to solve a given problem? Third, even if the tools are the same, and the same tools are used to solve a given problem, is the tool accessed with the same facility or frequency? The answers to these three questions suggest four degrees of universality (see Table 1): 1) *non-universals* (different tools), 2) *existential universals* (same tool, but differential functions), 3) *functional universals* (same tool and same function or use, but differential accessibilities) and 4) *accessibility universals* (same tool, use, and degree of accessibility). Figure 1 charts the decision process of identifying these four levels of psychological universals. We review these four levels below in order from strongest to weakest claims of universality[4].

Accessibility Universals vs. Cultural Variation

An accessibility universal is 1) in principle, cognitively available to most people in most cultures (it is an existential universal); 2) its use is the same across cultures (it is also a functional universal); and 3) it is accessible to the same degree across cultures. Whereas existential universals have the lowest threshold for universality and functional universals have a moderate threshold for universality, accessibility universals demand stringent evidence. Conversely, systematic accessibility differences, measured in terms of different effect sizes, undermine claims of accessibility universality.

It would seem that many psychological processes are implicitly assumed to be accessibility universals. For many of the processes investigated by psychologists there is no discussion regarding whether the studies would yield the same findings were they to be conducted with other samples. This absence of discussion on possible variability would often seem to suggest that the process under investigation is assumed to be an accessibility universal.

Examples of Accessibility Universals. We are unaware of any systematic studies that have explicitly attempted to demonstrate accessibility universals. However, there are some psychological processes for which it would be reasonable to expect little or no systematic cultural variation. One such process is an analog “number sense,” or quantity estimation. This cognitive ability assesses quantity approximately, but unlike counting, is limited in accuracy. It does not require culturally invented counting systems, appears in early infancy and is shared by other non-linguistic higher primates (e.g., Dehaene, 1997). Recent evidence suggests that analog quantity estimation operates in an identical way in preliterate cultures without a counting system beyond one-two-many, as reflected in the particular pattern of the error rates (Gordon, 2004). Another is the mere exposure effect, or the tendency to experience increased positive affect towards familiar objects relative to unfamiliar ones (Zajonc, 1968). This robust affective

phenomenon can emerge without any conscious awareness, is impervious to reasoning processes (Winkielman, Schwarz, & Zajonc, 1997), and is evident across species (e.g., Zajonc, Wilson, & Rajecki, 1975). For example, human faces that are more similar to the prototypes in their respective cultures and thus are more familiar, have been found to be viewed as more attractive, and this effect emerges across cultural contexts (e.g., Rhodes et al., in press). Another is social facilitation (Zajonc, 1965), or the finding that the presence of others can facilitate performance of a dominant (well-learned) behavior, and inhibit performance of a non-dominant (poorly learned) behavior. This effect is mediated by physiological arousal, and occurs widely in the animal kingdom (Zajonc, 1968). Because there is little relevant research that explicitly tests for accessibility universals, identification of the kinds of psychological processes that do not vary systematically across cultures remains speculative. In the absence of systematic cross-cultural data, those processes that would appear to be the best candidates for the label of accessibility universals are those that are identified across species or that appear to operate independently of content and context.

Example of a Failure to Meet the Threshold of Accessibility Universals. Psychological effects that are assumed to be accessibility universals often turn out to be susceptible to accessibility variations upon closer inspection. Consider, for example, an experiment on category learning comparing the relative accessibilities of exemplar-based vs. rule-based categorization among European American, Asian American, and East Asian (Chinese and Korean) undergraduates (Norenzayan, et al, 2002). Given arguments by historians and philosophers about the differences in Western and Chinese philosophical traditions emphasizing analytic and holistic modes of thought respectively (Lloyd, 1990; Nakamura, 1964/1985), cultural differences were expected in rule-based category learning, especially when rule use conflicted with exemplar use.

Participants learned how to categorize cartoon animals as being either from Venus or from Saturn (Allen and Brooks, 1991) by applying a complex, explicit, additive rule. When the rule and the exemplars were in conflict, that is, rule-application and similarity to past exemplars led to contradictory inferences regarding category membership, Allan & Brooks (see also Smith, Patalano, & Jonides, 1998) found evidence of *exemplar interference* in rule application, that is, judgments were affected by similarity to exemplars despite instructions to solely follow the rule.

In the Norenzayan et al study, all three groups showed exemplar interference, indicating that this effect can be generalized across these three cultural groups, a potential functional universal (see Figure 2). However the size of the effect was different across cultures: East Asians made twice as many classification errors as either European Americans or Asian Americans, indicating that this effect is differentially accessible across cultures. Thus, exemplar interference in category learning is not an accessibility universal. Under identical learning conditions (e.g., learning of novel categories having few members and weak or no prototype structure), East Asian rule-based category learning was twice as likely to be sensitive to exemplars.

Functional Universals vs. Cultural Variation

When a psychological process shows cultural variability in accessibility, then the next step is to examine whether or not it is a functional universal. A functional universal is 1) in principle cognitively available to people in all cultures; 2) its use is functionally the same across cultures, even if 3) cultural variation exists in the extent to which it is accessible in a given situation.

Example of a Functional Universal. Fiske (1991) reviewed the forms of human relations around the world and proposed that all human relations are composed of four separate relational elements: authority ranking, communal sharing, equality matching, and market pricing. Fiske

reviewed a considerable body of evidence gleaned largely from various ethnographic accounts, and found strong support that these four relational models are evident in all cultures in which they have been explored; that is, they represent existential universals. Furthermore, Fiske was able to delineate the ways in which the different relational models serve the same basic functions within all contexts and cultures that they are applied. Hence, they are also proposed to be functional universals. However, Fiske also identifies pronounced cultural variation in the presence of these different relational models across cultures. For example, there is far more evidence of market pricing relations within American culture than within the Moose of Burkina Faso, and, likewise, there is more communal sharing among the Moose than among Americans. This cultural variation suggests that relational models are not accessibility universals. The different relational models vary in their accessibility across cultures. The four relational models thus represent functional but not accessibility universals. Some other candidates for functional (but not accessibility) universals include attachment styles (e.g., Grossmann et al., 1995; Miyake, 1993), the similarity-attraction effect (e.g., Heine & Renshaw, 2002), internal attributions of causality (Norenzayan & Nisbett, 2002), and the role of negative affect in depression (e.g., Ryder, 2004).

Example of a Failure to Meet the Threshold of Functional Universals. Other psychological phenomena, presumed to be functional universals, fail to show such cross-cultural similarity. Heine, Kitayama, Lehman, Takata, Ide, and Leung (2001, Study 2) provide an example of a cultural difference in self-enhancing and self-critical motivations that undermines claims that these motivations are functional universals. American and Japanese participants were presented with a creativity task in which they either succeeded or failed in private. Subsequently they were given the opportunity to work on two tasks after the experiment was ostensibly

interrupted because of a computer malfunction. One of the tasks was almost identical to the original task; the other task was unrelated to the former. Researchers then covertly measured the length of time that each participant spontaneously worked on the two tasks while waiting for the experimenter to return. Figure 3 reveals that American participants, replicating previous research, persisted longer after success than failure on the original task, and were more likely to pursue the novel task when they had failed. In stark contrast, Japanese participants showed the reverse pattern, persisting longer after failure than success on the original task, and were more likely to try the novel task if they had succeeded. Based on this and other evidence, Heine et al argued that Americans approach tasks with a self-enhancing orientation where they desire to do well. If they fail on a particular task, they can increase their likelihood of succeeding in the future by trying something different. In contrast, Japanese approach tasks with a self-improving orientation where they desire to eliminate shortcomings. If they fail on a particular task, they can reduce this newly found shortcoming by continuing to persist at it.

This finding reflects a dissociation across cultures (Americans and Japanese) in a psychological variable (persistence on a task). Variation in achievement outcomes (failure vs. success) led to qualitatively distinct psychological tendencies (self-improvement vs. self-enhancement), even though both cultural groups were motivated to do well. Self-enhancing and self-improving motivations are not universals at the functional level, although they likely are existential universals, in that these motivations are cognitively available in principle across cultures (Heine et al., 1999).

To summarize, a psychological phenomenon is a functional universal if the shape of the relationship between the variables is the same, even if the strength of the pattern differs across cultures. Conversely, a phenomenon fails the test of a functional universal if qualitatively distinct

patterns emerge in different cultures, as in the case of achievement motivation after success or failure. Note, however, that variation in function reflects not the presence or absence of a strategy in the psychological repertoire, but the relative dominance of alternative strategies that exist in principle across cultures. In such cases, the next step would be to consider whether these psychological tendencies are indeed existential universals; that is, if they indeed exist in principle across cultures, even if their use differs markedly.

Existential Universals vs. Non-Universals

A psychological tendency is an existential universal if it is in principle cognitively available to normal adults in all cultures, even though the cultures may differ markedly in the ways, or the frequency, in which the process is utilized in everyday life. Existential universals require a very minimal standard of evidence—they refer to psychological strategies that are cognitively latent, even if they are rarely accessed and deployed in practice. Existential universals presume that adult, non-brain damaged human beings everywhere are capable of accessing and utilizing the same strategies, even if the conditions under which a given strategy is activated may vary dramatically, and the frequency and degree of strength with which a strategy is accessed may vary as well.

Example of an Existential Universal. An illustration of a cognitive process that reflects variability in function but appears to be an existential universal, is a study in which East Asian (Chinese and Korean), Asian American, and European American participants judged the similarity of various target objects to one of two categories (Norenzayan, Smith, Kim, & Nisbett, 2002, Study 2). For example, participants viewed two categories of flowers, with four different flowers in each. Beneath the two categories was a novel flower (the target object). The two categories were constructed so that the use of family resemblance strategy and a rule strategy led

to contradictory responses (Kemler-Nelson, 1984). The objects in one category had a strong family resemblance to one another and to the target object without any one feature being necessary to the category. The objects in the opposing category were all definable by a simple deterministic rule (e.g., short stem), without possessing any strong family resemblance structure. To the extent that there are cultural differences in cognitive processes, Western judgments would be rule-based (an analytic strategy), whereas East Asian judgments would be family resemblance based (a holistic strategy). The results (Figure 4, panel a) indicated that East Asian similarity judgments were indeed primarily driven by family resemblance, whereas European American similarity judgments were primarily driven by the deterministic rule. Asian American judgments were intermediate.

What can we conclude from this study? First, the *use* of a family resemblance strategy or a rule strategy in judgments of similarity was not cross-culturally uniform. Qualitatively distinct cognitive strategies were recruited to solve a problem under identical task conditions. Despite this cultural variation, it is clear that these two competing cognitive strategies are latent responses that could be activated in principle. For example, when the task requirements were modified to emphasize rule application, all three cultural groups picked the rule over family resemblance (Figure 4, panel b). This and similar other studies (see Norenzayan, et al.) indicate that rule strategies and holistic strategies such as family resemblance are likely to be existential universals, even though they are not functional universals as their use varies across cultures in systematic ways. Some other candidates for existential (but not functional) universals includes the effect of talking on reasoning problems (Kim, 2002), and preferences for individual choice (Iyengar & Lepper, 1999).

Examples of Failures to Meet the Threshold of Existential Universals (Non-universals).

Most psychological processes that have been investigated appear to meet the standards of existential universals. However there are a number of processes that might not. While the nonexistence of a trait in a population of minds is difficult to demonstrate conclusively, there are several suggestive examples that fail this minimal test of existential universals.

One likely candidate for a non-universal is certain arithmetic reasoning strategies that emerge among abacus users, but are likely to be nonexistent among non-abacus users (Miller & Paredes, 1996). The abacus is a manual counting device that is popular in many East Asian cultures. Compared to non-abacus users, for example American college students, abacus users reason with numbers in ways that reflect the structural features of the abacus calculating system. For example, abacus users make computational errors particular to the abacus, their reasoning speed correlates with the number of computational steps inherent in the abacus system, and even the nature of their cognitive representations of numbers are different, privileging the odd-even distinction (as opposed to number magnitude) which is a central feature of the abacus system but not other counting devices. These findings can best be explained in terms of cognitive strategies embodied in the abacus as a calculating tool (Vygotsky, 1978). These effects, and presumably the complex computational strategies underlying them, are so peculiar to the abacus that they might be absent from the reasoning of non-abacus users (Hatano & Osawa, 1983; Stigler, 1984).

While abacus-based cognitive strategies are perhaps recognizably culture-specific (in that most people are not abacus-users), far less obvious is the nature of those cognitive strategies that are now widespread across cultures, but are in fact rooted in historical inventions that were once culturally bounded. Such a case can be made for the most complex numerical thinking that is observed today across cultures. Numerical thinking appears so early in infants, and is so culturally ubiquitous, that it is easy to overlook the fact that a host of cultural tools are exploited

every time numbers are manipulated—tools that were invented, modified, and built upon by cultural predecessors (Carey, 2004; Miller & Paredes, 1996). Undoubtedly, numerical reasoning is rooted in human biology, in that infants seem to be naturally endowed with a primitive number sense with an analog representational system of quantity (Dehaene, 1997), natural language quantifiers, and object representation (Carey, 2004). Nevertheless, these core competencies are the cognitive building blocks on which the edifice of human numerical thinking is gradually constructed and transmitted to future generations. Natural numerical competencies available to the human infant are capable of representing "one" and the difference between "one, some, and many." However the cognitive strategies that make possible the representation of, for example, the number 31, or the execution of complex mathematical operations are bootstrapped (Carey, 2004). That is, they emerge as a result of the mutual exploitation of primitive representational systems that were initially independent. This bootstrapping is then externalized and culturally transmitted across generations. The inventions of number systems, such as the base-10 structure (as in Indo-Arabic and Chinese numerals), the base-20 (Maya and Aztec), and base-60 (ancient Babylonian) are such cases of cultural bootstrapping. A study that illustrates how the presence or absence of culturally invented number systems can affect numerical reasoning is that of Gordon (2004), who studied counting among the Piraha, an Amazonian tribe with a counting system that does not exceed the number three. It was found that the Piraha's counting was remarkably poor for items greater than three, despite the fact that their quantity estimation abilities were no different than standard college student populations (see further discussion in the next section). Not only did these cultural tools, and associated composite cognitive strategies and representations, not exist *in principle* before the invention and cultural propagation of number

systems, the culturally divergent number systems were likely to have led to important cognitive differences in arithmetic reasoning (Miller, Smith, Zhu, & Zhang, 1995).

Similarly, certain statistical reasoning strategies did not exist prior to the emergence of probability theory in 17th century Europe (Hacking, 1975). In his book *The Emergence of Probability* (1975) the philosopher Ian Hacking, puzzled at the absence of probabilistic reasoning in the West before Pascal, observes that, while games of chance, such as the talus, dice, and deciding by lot, existed since antiquity, explicit reasoning strategies that made use of the principles underlying such devices simply did not exist prior to the 17th century:

> Probability has two aspects. It is connected with the degree of belief warranted by evidence, and it is connected with the tendency, displayed by some chance devices, to produce stable relative frequencies. Neither of these aspects was self-consciously and deliberately apprehended by any substantial body of thinkers before the time of Pascal (1975, p. 1)

There is great individual variation regarding the degree of understanding of such reasoning tools, even among highly educated individuals today (Nisbett & Ross, 1980). Similarly, in the East, Chinese philosophers developed the ancient notion of the *Tao* as a sophisticated set of conceptual tools to reason about change, contradiction, relativism, and moderation, tools that did not seem to have counterparts in the West (Peng & Nisbett, 1999), and it is plausible that a host of dialectical reasoning strategies were thus invented and propagated in Chinese culture as a result.

Section Summary and Conclusions

Universals at the accessibility, functional, and existential levels, as well as non-universals, are distinct levels that hierarchically organize the range of identifiable psychological

universals. Because of the stringent criteria for their identification, both non-universals and accessibility universals are likely to be relatively rare; because of the minimal criteria needed for their occurrence, existential universals are likely to be common. Functional universals fall in between. They inhabit the intermediate bandwidth of the life-space, behaviors in context where most of the give and take of everyday life occurs. Not surprisingly, much cross-cultural research has targeted what the field presumes to be functional universals. This approach has been fruitful as the evidence for functional dissociations across cultures is accumulating in processes implicated in reasoning and categorization (Medin & Atran, 2004; Nisbett, 2003), language development in children (Tardif, 1996), aggression (Cohen, Nisbett, Bowdle, & Schwarz, 1996), motivation and self-regulation (Heine et al, 1999), and the self (Markus & Kitayama, 1991; Triandis, 1989).

Levels of Universals in Theory Development

Psychological theories can gain generality, empirical focus, and falsifiability if they are calibrated to account for the observed level of universality in the cross-cultural evidence. Below we illustrate the relevance of levels of universals for two theoretical debates in psychology that implicate universals: the Whorfian or linguistic relativity hypothesis, and sexual selection theory in mate preferences.

The Whorfian Hypothesis and Numerical Reasoning

The notion that cultural experiences influence thought is famously illustrated in the linguistic relativity or Whorfian hypothesis (Whorf, 1956), or the idea that the particular language people speak affects thought. After a period of intellectual stagnation, recently there has been a surge of systematic and compelling studies that have examined, and found some degree of support for this hypothesis (e.g., Levinson, 1996; Roberson et al, 2000, 2004),

although the precise psychological implications of these studies continue to be debated in the literature (e.g., Levinson et al, 2002; Li & Gleitman, 2002).

Whether linguistic differences in counting systems affect numerical reasoning has been one focus of this work. Languages differ markedly in the nature and extent of counting systems that are available to different linguistic communities (Miller & Paredes, 1997). Is numerical cognition a psychological universal immune to the ways by which languages code numbers? Or do linguistic differences lead to cognitive differences in counting? In a recent study, Gordon (2004) examined reasoning among the Piraha, an Amazonian group that has a one-two-many counting system (see also Pica et al, 2004, for a similar study and similar results with the Munduruku of the Amazon). Two main findings emerged. First, counting tasks with varying cognitive demands showed that performance with quantities greater than three were poor. For example, Piraha speakers were shown an array of familiar items (e.g., sticks), and were asked to match these items with the equivalent number of other familiar items (e.g., nuts). Results showed that Piraha speakers had great difficulty matching an array of items if the array contained more than three items.

Second, despite their poor counting performance for numbers that are not available in the Piraha counting system, the participants' estimation errors reflected a constant coefficient of variation, that is, the amount of error increased as a function of the magnitude of the target size. The ratio of this average error to the target size is a constant. Piraha speakers' coefficient of variation was almost identical to that of English speakers. This indicates that Piraha speakers were sensitive to quantity, were trying hard to get the answers correct, but were insensitive to exactitude of numbers larger than three.

There is growing consensus in the literature that numerical thinking relies on two independent cognitive strategies: one is a primitive "analog" number sense that is sensitive to quantity but is limited in accuracy. This cognitive ability is independent of counting practices, can be shown to operate in human infants and is shared by other non-linguistic higher primates (e.g., Dehaene, 1997). Second, human infants appear to have a cognitive ability that is sensitive to the exactitude of small numbers, possibly up to about three items. But it is only with the emergence of linguistically coded counting systems and cultural practices of counting that children in some cultures are able to count with exactitude numbers larger than three.

Thus, it appears that the "analog" number sense is a possible accessibility universal. On the other hand, "digital" counting beyond three items is likely to be a non-universal, a cultural invention that encourages a set of cognitive abilities that simply do not exist without supporting cultural and linguistic practices (but see R. Gelman & Gallistel, 2004, for other interpretations of these results). With these distinctions in mind, it becomes clear that past discussions of whether numerical reasoning is universal have targeted the wrong level of universality. One core ability involved in numerical reasoning—analog quantity estimation—seems to pass the highest possible threshold, that of an accessibility universal. Other equally important and pervasive aspect of numerical reasoning—counting beyond three, seems to be the product of the cultural invention of counting systems and are thus non-universals. Theories of numerical reasoning can then focus on the mechanisms by which such universals or cultural inventions can emerge in human populations.

Sexual Selection Theory and Gender Differences in Mate Preferences

As another example of how these distinctions can illuminate debates on the universality of psychological phenomena, consider Buss's (1989) cross-cultural survey of gender differences

in mate preferences. Consistent with hypotheses derived from sexual selection theory, Buss predicted and found that in almost all cultures men valued physical attractiveness and chastity (defined as no sexual experience before marriage) more than women, whereas women valued status and good financial prospects more than men. Buss concluded that these preferences are naturally selected psychological universals. However Buss also found considerable cross-cultural variation in the size of these gender differences. For example, the gender difference in valuing good financial prospects was twice as large in Nigeria as in Belgium. Also, even though in none of the cultures did women value chastity more than men, there was robust cultural variation in whether men preferred chaste women, with no appreciable gender difference in Sweden, to a large gender difference in Nigeria. In fact, the overall results indicated that the respondents' culture was a stronger predictor of their mate preferences (for all traits considered) than gender. Eagly and Wood (1999) reanalyzed Buss' data and demonstrated that the size of the gender differences varied systematically as a function of measures of gender inequality in each culture, such that the gender effect increased with more gender inequality. Eagly and Wood concluded that the results are consistent with social structural theories of gender differences.

These explanations are not mutually exclusive, and indeed can be complementary. That gender effects were found consistently across cultures, despite variation in their size, supports the conclusion that gender differences predicted by sexual selection theory are functional universals. On the other hand, that the size of the effect varies dramatically across cultures, despite the same trend emerging in most cultures, also supports the conclusion that these gender differences fail the test of accessibility universals.

No doubt, the distinctions of universals we are proposing will be fruitfully debated, elaborated, and updated. However, theories can gain clarity and precision if they account for

universality and variation at different conceptual levels. Specifying the particular level at which a universal is posited can sharpen theoretical debates like these. Moreover, as evidence accumulates in an area of research, classifying the evidence in terms of these levels of universals can further facilitate communication among researchers and aid in theory refinement.

Conclusions, Implications, and Caveats

Psychological Universals at the Junction of Evolutionary and Cultural Psychology

Psychological universals, as fundamental as they are to psychology, have been a neglected topic of empirical analysis for most of the field's history. However two important recent trends in psychology have been converging towards a new geography in which the reaches and limits of universals can be explored systematically. First, evolutionary psychology has emerged as a new major force within psychology, examining how specific mental modules were naturally selected to solve adaptive problems in the ancestral environment (Barkow, et al., 1992; Pinker, 1997). Because the dominant reasoning in evolutionary psychology presupposes a species-specific psychological nature, one standard of evidence by which evolutionary psychological arguments have been evaluated is the extent to which the findings generalize across cultural groups. Towards this end, several lines of research within evolutionary psychology have sought to recruit evidence from samples beyond those of Western university students, and from the world more broadly (e.g., Buss, 1989 regarding sex differences in mate preferences; Ekman et al., 1969 regarding emotional expressions; Burnstein, Crandall, & Kitayama, 1994, regarding kin selection and altruism; Daly & Wilson, 1988, regarding sex differences in homicide). A second, emerging approach in evolutionary psychology is to view naturally selected psychological adaptations as flexible algorithms responsive to local contextual cues that shape, and are shaped by, population level dynamical processes (e.g., Kenrick, et al.,

2003). Inherent in this approach is a consideration of the ways by which psychological adaptations express themselves differently in divergent cultural environments. As a result of these dual trends, a number of evolutionary psychology research programs have moved from treating universality as an untested assumption to universality and its boundary conditions being actively investigated hypotheses.

Second, the past two decades have seen growth in the field of cultural psychology. Cultural psychology is grounded in the observation that humans have a dual inheritance, that of biological evolution and transmitted culture. These are relatively independent yet mutually interacting forces that shape human psychology (Richerson & Boyd, 2004; Sperber, 1996). Thus, human minds develop in, and draw from richly structured cultural contexts, and that collectively distributed beliefs and practices in turn are invariably shaped by individual psychological processes and their social and material effects. Thus, cultures and psyches make each other up in a mutually reinforcing fashion and can best be understood in terms of each other (Cole, 1996; Fiske et al., 1998; Markus & Kitayama, 1991; Shweder, 1991; Vygotsky, 1978). Accordingly, cultural psychologists have sought to investigate the influences of cultural environments on the psyche by exploring differences between cultures in core psychological processes. These research programs have revealed that cultural variability seeps much deeper into the very structures of the mind than previously thought, sometimes bypassing the conscious mind altogether (Cohen, 1997). Advances in cross-cultural methods, and a growing cross-cultural literature has allowed researchers to incorporate cultural variation in their psychological models (Choi et al., 1999; J. G. Miller, 1999; Medin & Atran, 2004). Cultural psychologists typically do not assume universals, at least usually not at the level of the phenomenon under investigation, and are actively testing the degree of variability in assumed universals.

It has been the recent growth of evolutionary and cultural psychology that has taken the issue of psychological universals from an implicit assumption to an actively investigated hypothesis. Both fields are actively involved in testing the question of psychological universals; evolutionary psychologists typically seek evidence for universals and cultural psychologists typically seek evidence for diversity. However, there are encouraging points of convergence in the two fields' complementary approaches of seeking the conditions under which universal mechanisms are expressed in culturally specific ways (Kenrick, et al., 2003), by considering the evolved constraints on cultural diversity (e.g., Atran & Norenzayan, in press; Boyer, 1994; Henrich & Boyd, 1998; Kameda, Takezawa, & Hastie, 2003; Norenzayan & Atran, 2004), and by conceptualizing human nature in terms of naturally selected psychological adaptations that are incomplete without culture-specific instantiation and coordination, mutually complementary and mutually necessary for psychological functioning (Cohen, 2001; Fiske, 2000; Kameda & Nakanishi, 2003; Nisbett & Norenzayan, 2002; Rozin, 2001).

Universality, Cultural Variability, and the Argument for Innateness

Universality is an important consideration for determining whether psychological phenomena are explainable in terms of innate structures or learned responses. However arguing for universality is distinct from arguing for innateness. In this regard, three important points about explanations for universals are worth considering briefly. At the most abstract level, processes could be universal because they are the result of 1) innate, naturally selected psychological tendencies that emerge everywhere in the same ontogenetic sequence (such as language acquisition, Pinker & Bloom, 1992); 2) cultural byproducts of naturally selected tendencies (such as religion, e.g., Atran & Norenzayan, in press); or 3) independent cultural inventions, or cultural diffusions of learned responses that serve a useful purpose everywhere,

such as counting systems, calendars, writing, trading, and cognitions and behaviors associated with these inventions, or what Dennett refers to as "good tricks" (1995 p. 486).

Thus, universality is encouraging but not conclusive evidence for the innateness of a psychological process. An argument for the innateness of a process has to show that the process is unlikely to have achieved universality due to repeated independent inventions, or due to widespread cultural propagation of inventions.

On the other hand, could cultural variability reflect the innateness of a psychological process? Perhaps the cultural variability in psychological processes that has been discovered is not due to differential cultural transmission of psychological traits, as has been argued (e.g., Boyd & Richardson, 1985; Markus & Kitayama, 1991; Nisbett et al., 2001), but to differential genetic transmission that covaries with the samples. After all, much work in behavioral genetics has highlighted how psychological processes have a significant heritable component (e.g., Plomin, Owen, & McGuffin, 1994; Roy, Neale, & Kendler, 1995; Turkheimer, 2000). Furthermore, recent research on the Human Diversity Genome project has identified a number of genes that systematically vary across populations (e.g., Cavalli-Sforza & Cavalli-Sforza, 1995), including genes associated with distinct blood groups (Landsteiner, 1901), lactose intolerance (Flatz, 1987), and resistance to malaria (Allison, 1954). Might there also be systematic population variance in genes that are in some ways linked to psychological phenomena?

If group-level psychological differences are associated with group-level genetic differences, selection pressures must have diverged in different populations. Cavalli-Sforza & Cavalli-Sforza (1995) argue that we should see the greatest differential selection pressures on traits that have the most consistent and powerful consequences on fitness, and that occur over long periods of time, such as those related to thermal regulation, pathogen resistance, and diet.

However, culturally differential selective pressures for psychological traits were likely not consistent over long periods of time because cultures are constantly in flux. Most large scale societal changes that separate cultures today, with the possible exception of the agricultural revolution that occurred in some societies 10,000 years ago, have very short time frames that preclude the impact of significant culturally differential selective pressures on the gene pool.

It would seem that the best way to empirically address the question of whether variation in genes or in cultural practices underlies cultural variation in psychological processes would be to contrast groups such that race is held constant but culture is varied. Immigrants and their descendants provide practical samples that afford this investigation. Thus far, the data is quite consistent in showing that immigrants and their descendants exhibit psychological processes intermediate to their ancestors who remained in their heritage culture, and their compatriots in their host culture, providing evidence for cultural transmission. For example, Asian-Americans quite consistently appear intermediate to those of Asians in Asia and Americans of European descent for a variety of psychological processes (e.g., Kitayama, Markus, Matsumoto, & Norasakkunkit, 1997; Norenzayan et al., 2002), and, if anything, they tend to be much closer to the norm for European-Americans (Heine & Hamamura, 2005). Furthermore, the longer people of Asian descent have been in North America, the more similar their psychological tendencies resemble those of North Americans of European-descent, to the point that 3rd generation Asian-Canadians are indistinguishable from Canadians of other cultural backgrounds (Heine & Lehman, 2004; see also McCrae, Yik, Trapnell, Bond, & Paulhus, 1998). At present we know of no compelling empirical evidence to suggest an innate basis of the cultural differences that have been identified in psychological studies.

Psychological Universals and Managing Cross-Cultural Relations

Psychological universals are also important to the extent that interventions designed to solve social and psychological problems in other cultures are grounded in certain psychological assumptions about universal human nature. International interventions to combat child abuse in the slums of Brazil, to reduce poverty in the remote villages of Botswana, or to address the needs of the mentally ill in Bolivia, are premised on the idea that there is universal agreement as to the meaning and psychological nature of these problems. To the extent that "child," "abuse," "poverty," and "mental illness" are conceptualized differently or function differently, culturally different possibilities for interventions emerge.

It is not new to suggest that there are significant potential costs for judging other cultures from the vantage point of one's own culture. This has been a significant voice from anthropology since Franz Boas and his students (e.g., Benedict, 1934; Boas; 1930; Mead, 1928). However, we propose that such relativism is groundless unless it can be founded on some widely-shared psychological tendencies and values. Without systematic cross-cultural investigation, it is difficult to know whether social practices and moral intuitions are rooted in core universal psychology, or are the result of projections from particular cultural assumptions of proper personhood and the good and moral life. Thus it is important to place any social interventions into the affairs of other cultures on firm ground, based on clear knowledge of universals and their boundary conditions, as well as the particular prerogatives and psychological preferences of those cultures that may differ considerably from one's own. This is not a call for unbridled cultural relativism, but, to echo Shweder (2000, 2002), a call to be slow at judging other cultures. Psychological universals thus have a central role to play in this endeavor, because they possibly provide the only legitimate criteria by which any particular sociocultural practice or belief

system may be judged. As Fox (1973, p. 13) has said, “We could not plead against inhuman tyrannies if we did not know what is inhuman.”

Summary and Research Directions

This paper outlined a framework to guide the discovery of psychological universals at the proper level of analysis. We sketched a theoretical and methodological map for identifying and explaining universals. The emerging fields of cultural psychology and evolutionary psychology, although initially inspired by divergent concerns and aims, are showing signs of partial convergence towards an interest in the empirical discovery of psychological universals and their limits. In this regard, the study of universals is a key development that can facilitate evolutionary explanations of psychological processes, as well as offer a greater understanding of the genuinely shared characteristics of human beings, without which managing cross-cultural relations are fraught with difficulties. Three cross-cultural research strategies that can expand our understanding of psychological universals were discussed. Psychologists need not do exhaustive analysis of countless cultures of the world in search of universals. Relatively simple research strategies are available that, in combination and in conjunction with ethnographic, archeological, and archival evidence, can shed light on the psychological building blocks that unite human beings everywhere. Finally, four distinct levels of hierarchically organized universals, with varying degrees of claims for universality were outlined. These levels should serve to facilitate and sharpen discussions regarding the universality of psychological processes.

Psychology is at the cusp of expanding its narrow empirical base from middle-class, technologically advanced, primarily Western college-aged samples to humanity at large, with all its cultural diversity. As the field of psychology absorbs the lessons of cultural variability,

greater empirical attention to psychological universals, their scope, contours, and the conditions under which they emerge, stands to greatly advance the field.

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Footnotes

[1] A related generalizability issue in cross-cultural research is whether samples ought to be representative of the cultures they represent. Random sampling, which is infrequent in psychological research, is necessary if researchers wish to draw inferences about population parameters of the cultures of interest (e.g., what is the typical self-esteem level of Japanese?) However, this is not the goal in most cross-cultural psychological research, which is primarily concerned with the ways by which particular ecological contexts afford psychological tendencies, for example, honor cultures affording aggression in response to insult (Nisbett & Cohen, 1996).

[2] In contrast, if cultural differences are expected, then comparisons with populations that share background similarities that are not objects of investigation (e.g., Chinese and American undergraduates of similar age, scholastic ability, SES, and educational level) are warranted and desirable, since such comparisons eliminate many confounds as potential explanations of the differences.

[3] Following the guidelines of Brown (1991) we do not make a theoretical distinction between universals (something that exists in all cultures) and near-universals (something that exists in virtually all cultures). Nonexistence is always difficult to prove conclusively and the failure to identify a process in only one culture, but not in the rest, may occasionally be due to an oversight. To the extent that something appears to be a near-universal, we think it is best to tentatively consider it a universal, unless a more compelling case for non-universality can be marshaled.

[4] These are not meant to be different kinds of universals in a metaphysical sense. These levels of universals are continuous, interpenetrating classes. This taxonomy serves as a useful heuristic to

guide and synthesize research, and provide a common framework for effective communication among researchers. It is an open question whether or not these universals have differing origins, that is, whether they can be meaningfully traced to different cultural learning mechanisms. In discussions of the universality of a psychological trait or phenomenon, however, it is crucial to be clear as to which level of universal one is referring to.

Table 1. Levels of psychological universals in a hierarchical taxonomy

Psychological Commonalities Across Cultures				
Existence	Use	Accessibility	Required Evidence	Resultant Universal
YES	YES	YES	Strong	*Accessibility*
YES	YES	NO	Moderate	*Functional*
YES	NO	NO	Weak	*Existential*
NO	NO	NO	Strong	*Non-universal*

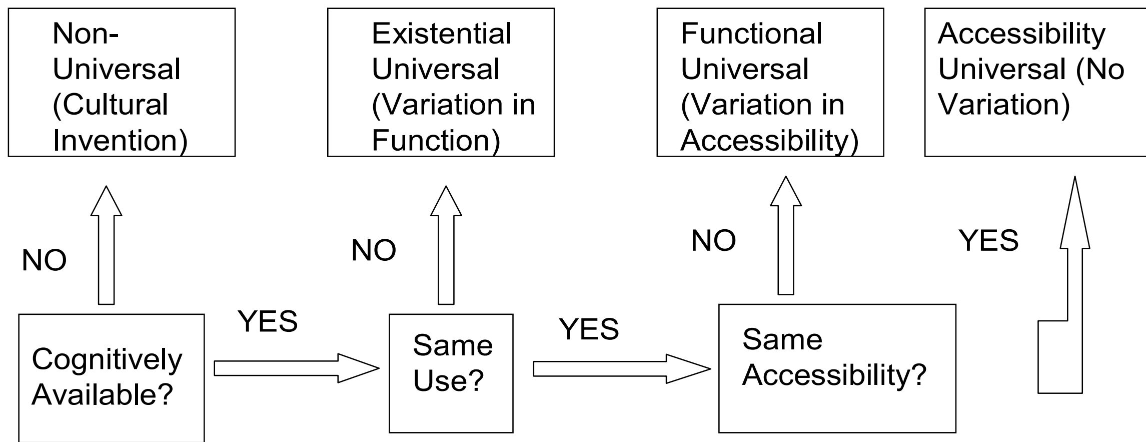
Figure Caption

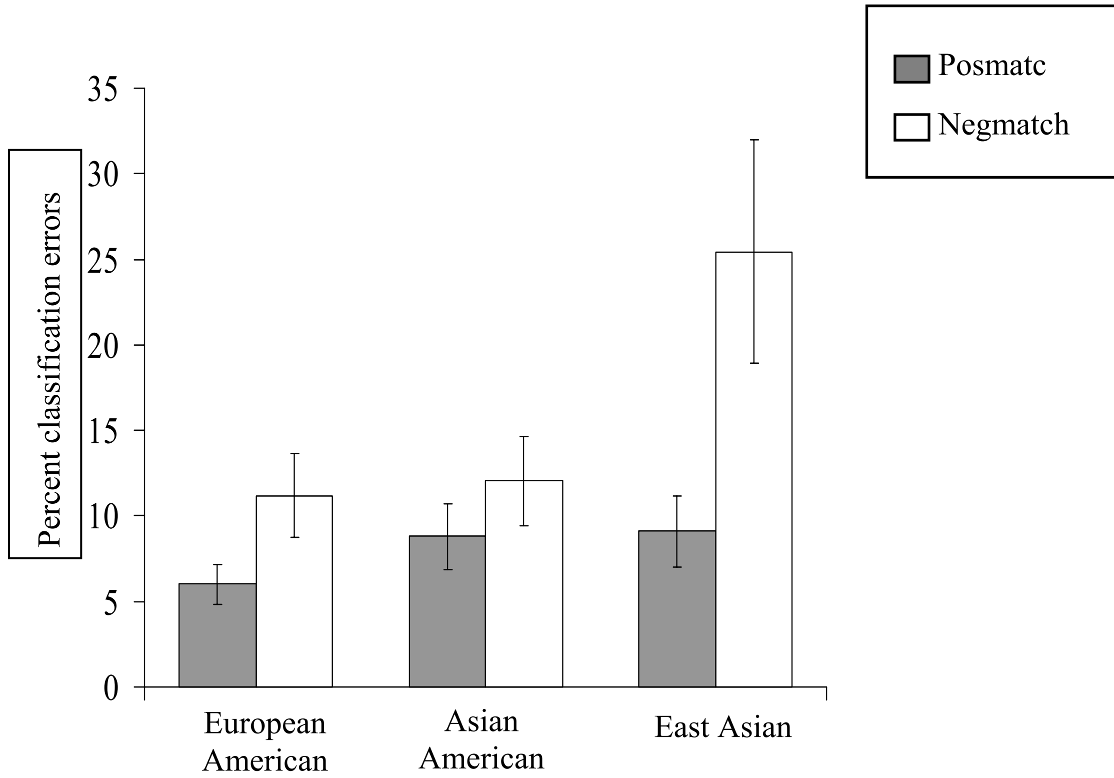
Figure 1. Decision flowchart distinguishing the four types of psychological universals.

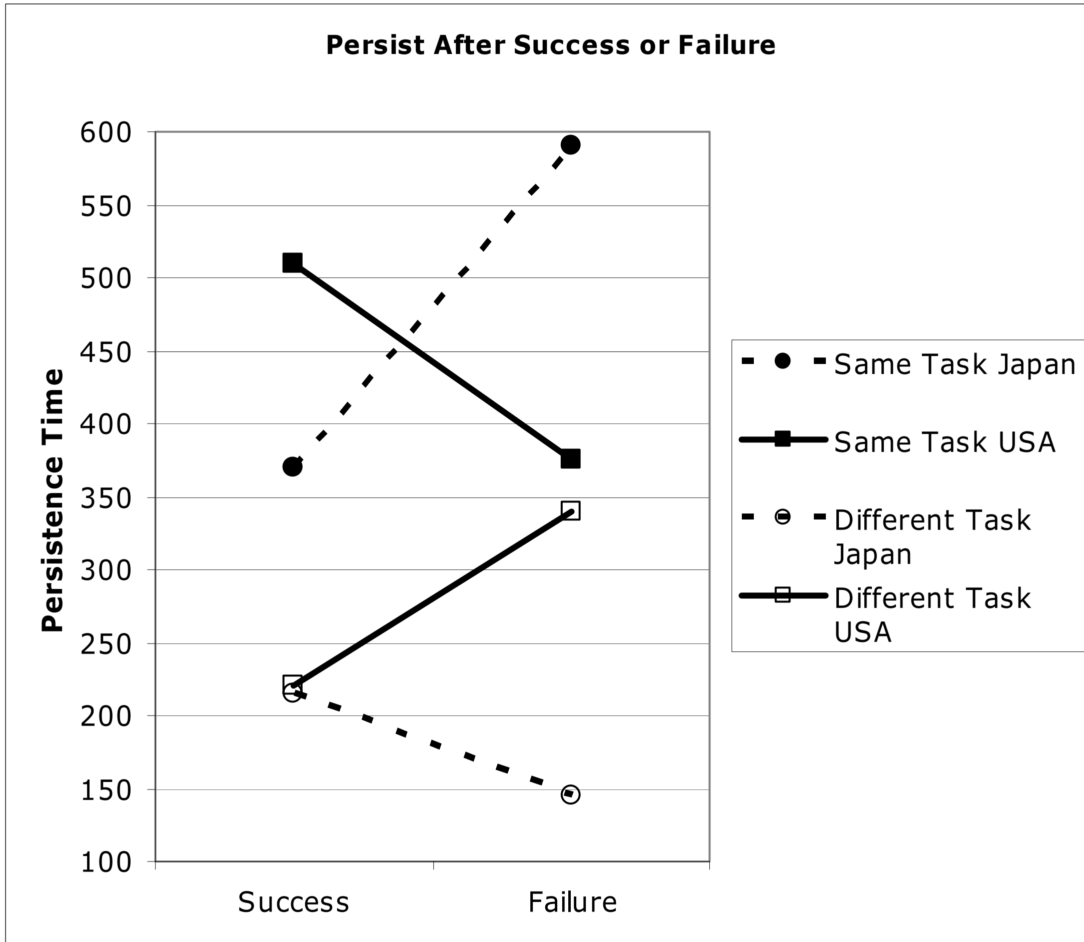
Figure 2. Accessibility difference in category learning. From "Cultural preferences for formal versus intuitive reasoning" by A. Norenzayan, E. E. Smith, B. Kim, & R. E. Nisbett, 2002, *Cognitive Science*, p. 662. Copyright 2002 by the Cognitive Science Society. Reprinted with permission.

Figure 3. Functional difference in self-enhancing motivations. From "Divergent consequences of success and failure in Japan and North America: An investigation of self-improving motivations and malleable selves" by S. J. Heine, et al, 2001, *Journal of Personality and Social Psychology*, p. 605.

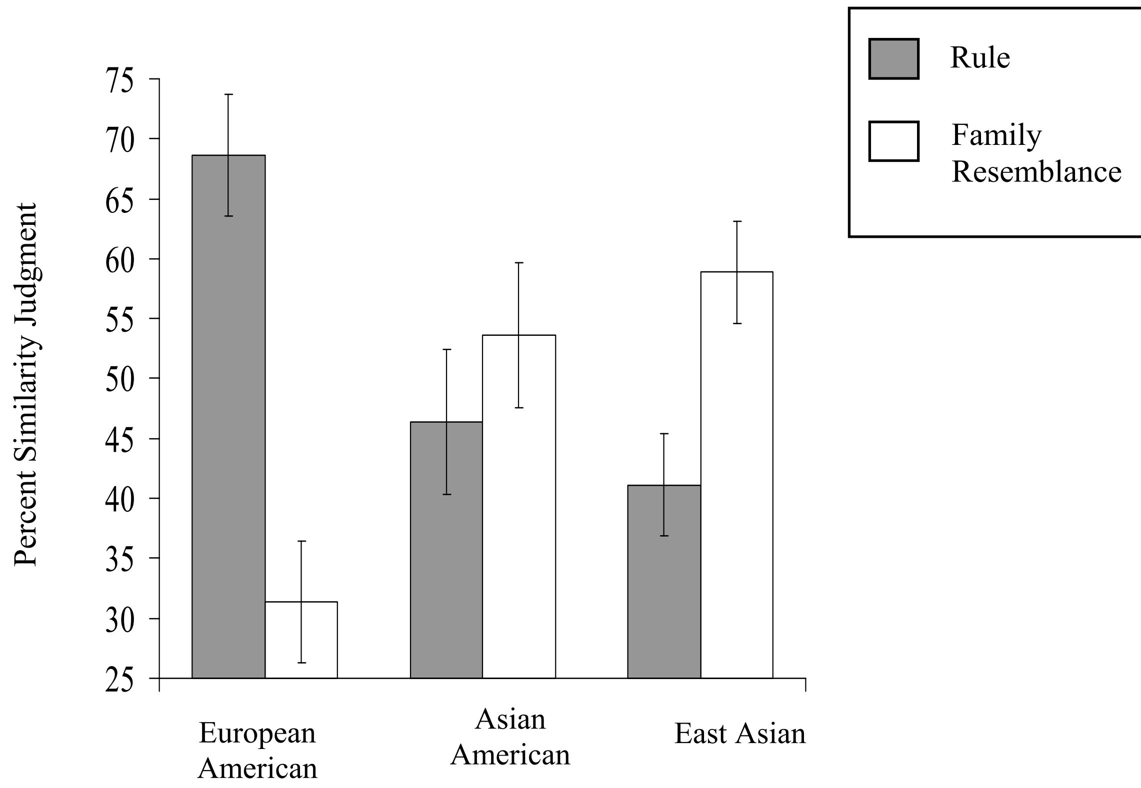
Figure 4. Functional difference in similarity judgments (panel a) and cross cultural similarity under instructions that encourage rule application (panel b). From "Cultural preferences for formal versus intuitive reasoning" by Ara Norenzayan, et al, 2002, *Cognitive Science*, p. 667. Copyright 2002 by the Cognitive Science Society. Reprinted with permission.

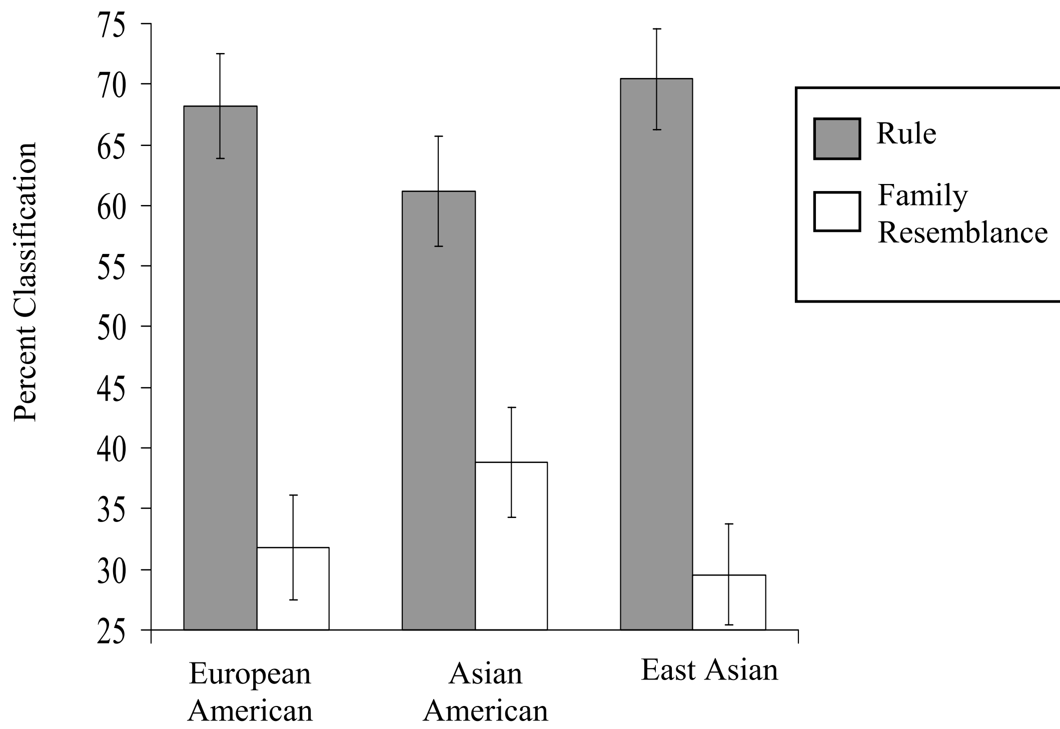

Non-Universal (Cultural Invention)
Existential Universal (Variation in Function)
Functional Universal (Variation in Accessibility)
Accessibility Universal (No Variation)
NO
NO
NO
YES
YES
YES
Cognitively Available?
Same Use?
Same Accessibility?


Posmatc
Negmatch
Percent classification errors
35
30
25
20
15
10
5
0
European American
Asian American
East Asian



Persist After Success or Failure
Persistence Time
600
550
500
450
400
350
300
250
200
150
100
Success
Failure
Same Task Japan
Same Task USA
Different Task Japan
Different Task USA


Rule
Family Resemblance
Percent Similarity Judgment
75
70
65
60
55
50
45
40
35
30
25
European American
Asian American
East Asian


Rule
Family Resemblance
Percent Classification
75
70
65
60
55
50
45
40
35
30
25
European American
Asian American
East Asian